

09/25/01



11054 U.S. PTO

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Docket No.: M-11978 US

September 25, 2001

Box Patent Application  
Commissioner For Patents  
Washington, D. C. 20231
 11046 U.S. PTO  
09/25/01  
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Enclosed herewith for filing is a patent application, as follows:

 Inventor(s): David Gok Louie, Jai-Jen Yu; Deming Wu  
 Title: System And Method For Configuring And Viewing Audit Trails In An Information Network

<u>X</u>	Return Receipt Postcard
<u>X</u>	This Transmittal Letter (in duplicate)
<u>19</u>	page(s) Specification (not including claims)
<u>5</u>	page(s) Claims
<u>1</u>	page Abstract
<u>11</u>	Sheet(s) of Drawings
<u>2</u>	page(s) Declaration For Patent Application and Power of Attorney
<u>1</u>	page(s) Recordation Form Cover Sheet (in duplicate)
<u>1</u>	page(s) Assignment
<u>1</u>	page Request And Certification Under 35 U.S.C. 122(b)(2)(B)(I) (NonPublication Request)

**CLAIMS AS FILED**

For	Number			Number		Rate		Basic Fee
	<u>Filed</u>			<u>Extra</u>				
Total Claims	37	-20	=	17	x	\$ 18.00	=	\$ 306.00
Independent Claims	5	-3	=	2	x	\$80	=	\$ 160.00
<input type="checkbox"/>	Fee of _____ for the first filing of one or more multiple dependent claims per application							\$
<input type="checkbox"/>	Fee for Request for Extension of Time							\$

Please make the following charges to Deposit Account 19-2386:

- ☒ Total fee for filing the patent application in the amount of \$ 1,176.00
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account 19-2386.

EXPRESS MAIL LABEL NO:

EL922456732US

Respectfully submitted,

 Mary Jo Bertani  
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MODIFIED PTO/SB/35 (11-00)

**REQUEST AND CERTIFICATION  
UNDER  
35 U.S.C. 122(b)(2)(B)(i)**

Inventors	Louie, David Gok; Yu, Jai-Jein Wu, Deming;	
Title	System And Method For Configuring And Viewing Audit Trails In An Information Network	
Atty Docket Number	M-11978 US	

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

September 25, 2001

Date

  
Mary Jo Bentani  
Attorney for Applicants  
Reg. No.: 42,321

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

7 CFR 1.213(a) provides for a request that an application not be published under 35 U.S.C. 122(b). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. SEND TO: Commissioner for Patents, Washington, DC 20251